Dm 19-074



www.EnergyMarketExchange.com

April 5, 2019

NEPUC 9APR 19m2:12

Ms. Debra A. Howland Executive Director and Secretary State of New Hampshire Public Utilities Commission 21 South Fruit Street, Suite 10 Concord, NH 03301-2429

Re: EMEX, LLC Renewal Registration of Aggregator

Dear Ms. Howland:

Enclosed please find an original and two (2) copies of EMEX, LLC's Renewal Registration of Aggregator. Included with the registration is a printout from the New Hampshire Secretary of State website showing that EMEX, LLC is in "Good Standing" and that the trade names "EMEX Power" and "Energy Market Exchange" are Active."

Also enclosed is a cashier's check for the \$125.00 fee payable to the NH Public Utilities Commission.

Very truly yours,

Kevin R. McAlpin

Kevin R. McAlpin Vice President and General Counsel

Enclosures

EMEX, LLC | 11011 Richmond Ave, Suite 500 | Houston, TX 77042 | T +1 877 459 4728 | F +1 713 583 9519 Copyright © 2016 EMEX, LLC. All Rights Reserved. CONFIDENTIAL and PROPRIETARY to EMEX, LLC.



Electric Load Aggregation Application Form

This form may be used to: (1) apply for initial registration as an electric load aggregator in New Hampshire, (2) apply for renewal of registration as an electric load aggregator in New Hampshire, and (3) notify the Commission of any changes to information in a previously-filed electric aggregator application form. This form is provided as a convenience for filing only; you are required to provide all information specified under Puc 2006.02 when applying for initial or renewal registration as an electric aggregator, but you are not required to use this form when doing so.

		Applicant's General Information
Puc 2006.02(a)	Legal Name	EMEX, LLC
	Trade Name (d/b/a) (if applicable)	Energy Market Exchange and EMEX Power
Puc 2006.02(b)	Business Mailing Address	11011 Richmond Avenue, Suite 500
	Telephone Number	Houston, TX 77042 713-521-9797
	E-Mail Address	mcalpin.k@emexllc.com
	Website Address (if applicable)	www.emexilc.com
Puc 2006.02(c)		usiness address(es), telephone number(s), and e-mail address(es) of the applicant, if an principal(s),1 if the applicant is anything other than an individual. Use additional sheets if ace provided on the form.
	Name	Daniel Marzuola
	Title	President and CEO
	Business Mailing Address	11011 Richmond Avenue, Suite 500
	Telephone Number	Houston, TX 77042 713-521-9797
	E-Mail Address	marzuola.d@emexilc.com
	Name	Kevin R. McAlpin
	Title	Vice President and General Counsel
	Business Mailing Address	11011 Richmond Avenue, Suite 500
		Houston, TX 77042
	Telephone Number	713-521-9797
	Email Address	mcalpin.k@emexllc.com
	Name	EMEX Acquisition, LLC
	Title	Member
	Business Mailing Address	40900 Woodward Avenue, Suite 200
		Bloomfield Hills, MI 48304
	Telephone Number	248-554-4227
	E-Mail Address	

^{1 &}quot;Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.



No. States		Customer Service Contact
Puc 2006.02(d)	Name	Christopher Page
	Title	Vice President
	Telephone Number	713-521-9797
	Toll-Free Telephone Number (if available)	
	E-Mail Address	page.c@emexllc.com

		Customer Complaints Contact
Puc 2006.02(e)(1)	Name	Kevin R. McAlpin
	Title	Vice President and General Counsel
	Business Mailing Address	11011 Richmond Avenue, Suite 500 Houston, TX 77042
	Telephone Number	713-521-9797
	E-Mail Address	mcalpin.k@emexllc.com

		Regulatory Compliance Matters Contact
Puc 2006.02(e)(2)	Name	Kevin R. McAlpin
	Title	Vice President and General Counsel
	Business Mailing Address	11011 Richmond Avenue, Suite 500
		Houston, TX 77042
	Telephone Number	713-521-9797
	E-Mail Address	mcalpin.k@emexIlc.com

	C	ommission Assessment Payments Contact
Puc 2006.02(e)(3)	Name	Kevin R. McAlpin
	Title	Vice President and General Counsel
	Business Mailing Address	11011 Richmond Avenue, Suite 500 Houston, TX 77042
	Telephone Number	713-521-9797
	E-Mail Address	mcalpin.k@emexllc.com

	Separate Attachments: Business Authority and Trade Name
Puc 2006.02(f)	Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; or
	(2) a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire.
Puc 2006.02(g)	Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or
	(2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name.



	Please respond to each of the following questions with either "Yes" or "No."	
Puc 2006.02(h)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	No
Puc 2006.02(h)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(4)	Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(5)	Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?	NO
	If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed.	

	Other States	•
Puc 2006.02(i)	Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers.	TX, IL, NJ, MA, PA, MD, DC, OH, DE, ME,MI, CT, FL, GA, IN, NY, CA

Puc 2006.02(j)	Please respond to the following question with either "Yes" or "No." Is the applicant representing any supplier interest?	NO
	If the response to the preceding question is "Yes," please list the supplier(s) represented. Use addition needed.	nal sheets if

Puc 2006.02(k)	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.	N/A - RENEWAL
		Date

	Attestation and Signature	
Puc 2006.02(I) and (m)	BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.	
	Signature of the applicant or its authorized representative	4/5/2019
	Name: KEVIN R. MCALPIN	
	Title: VICE PRESIDENT AND GENERAL COUNSEL	

Filing Instructions	
1) Mail an original and two paper copies of this form and all separate attachments to: Executive Director, NHPUC, 21 South Fruit St., Suite 10, Concord, NH 03301	
2) E-mail a PDF of this form and all separate attachments to: <u>Executive.Director@puc.nh.gov</u>	

Electric Aggregator Registration Form Z16 Pw (1)



Electric Aggregator Registration Puc 2006.02 Rev. 2/8/2018 Page 4 of 4



Business Information

Business Details

Business Name: EMEX, LLC

Business Type: Foreign Limited Liability Company

Business Creation Date: 10/28/2010

Date of Formation in Jurisdiction: 10/28/2010

Principal Office Address: 11011 Richmond Avenue S500, Houston, TX, 77042, USA

Citizenship / State of Formation: Foreign/Texas

Business ID: 638055 Business Status<mark>: Good Standing</mark> Name in State of

Name in State of Formation: EMEX, LLC

Mailing Address: 11011 Richmond Avenue S500, Houston, TX, 77042, USA

Last Annual Report Year: 2019 Next Report Year: 2020

Duration: Perpetual Business Email: mcalpin.k@emexllc.com Notification Email: mcalpin.k@emexllc.com

Phone #: 713-521-9797 Fiscal Year End Date: NONE

Principal Purpose

S.No	NAICS Code	NAICS Subcode
1	OTHER / Energy marketing services and any other lawful business or purpose a foreign LLC may register for pursuant to NH LLC laws.	
Page 1 o	f 1, records 1 to 1 of 1	

Principals Information

Name/Title	Business Address
Daniel Marzuola / Chief Executive Officer	11011 Richmond Avenue, Suite 500, Houston, TX, 77042, USA
Kevin McAlpin / Vice President	11011 Richmond Avenue, Suite 500, Houston, TX, 77042, USA
EMEX Acquisition, LLC / Member	40900 Woodward Avenue, Suite 200, Bloomfield Hills, MI, 48304, USA
Page 1 of 1, records 1 to 3 of 3	

Registered Agent Information

Name: Capitol Corporate Services, Inc.

Registered Office Address: 1 Old Loudon Road, Concord, NH, 03301, USA

Registered Mailing Address: 1 Old Loudon Road, Concord, NH, 03301, USA

Trade Name Information

Business Name		Busine	ess ID	Business Status	
EMEX Power (/online/BusinessInquire/TradeNameInformation? businessID=468238) Energy Market Exchange (/online/BusinessInquire/TradeNameInformation? businessID=497078)			6	Active	
			3		
Frade Name Ow	vned By				
Name		Title		Address	
Trademark Info	rmation				
Trademark Numb	per Trademark		Business Address	Mailing Address	
Trademark Numb	er Trademark		ecords to view.	Mailing Address	
Trademark Numb Filing History	Der Trademark Address History		ecords to view. Name History Shar		

e 5